

Pragya Securities Private Limited

MEMBER :- BSE / NSE / DP - CDSL

CIN : U67120MH1994PTC078185

GST No.: 27AAACP3247K1ZB

REGD. OFF.: 227/228, KAILAS PLAZA, 2ND FLOOR, VALLABH BAUG LANE, GHATKOPAR (E), MUMBAI - 400075

PHONE : 6728 3333 • E-mail : admin@psplonline.com



Investor Complaints Data

Name of the Stock Broker :- PRAGYA SECURITIES PVT LTD

SEBI Stock Broker- Registration Number :- INZ000172539

Data for the month ending – October -2024

Sr. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly From Investors	NIL	NIL	NIL	NIL	NIL		NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL		NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL		NIL
4	Other Sources	NIL	NIL	NIL	NIL	NIL		NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL		NIL

Trend of monthly disposal of complaints for the Financial Year – 2024-2025: -

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April- 2024	NIL	NIL	NIL	NIL
2	May - 2024	NIL	NIL	NIL	NIL
3	June - 2024	NIL	NIL	NIL	NIL
4	July - 2024	NIL	NIL	NIL	NIL
5	August-2024	NIL	NIL	NIL	NIL
6	September-2024	NIL	NIL	NIL	NIL
7	October -2024	NIL	NIL	NIL	NIL

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*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints: -

Sr. No	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021-22	NIL	NIL	NIL	NIL
2	2022-23	NIL	NIL	NIL	NIL
3	2023-24	NIL	NIL	NIL	NIL
4	2024-25	NIL	NIL	NIL	NIL

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Investor Complaints Data

Name of the Depository Participant :- PRAGYA SECURITIES PVT LTD

SEBI DP -Registration Number :- IN-DP-742-2023

Data for the month ending – October -2024

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly From the Investors	NIL	NIL	NIL	NIL	NIL		NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL		NIL
3	Depositories	NIL	NIL	NIL	NIL	NIL		NIL
4	Other sources (if any)	NIL	NIL	NIL	NIL	NIL		NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL		NIL

Trend of monthly disposal of complaints for the Financial Year – 2024-2025: -

Sr. No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April - 2024	NIL	1	1	NIL
2	May - 2024	NIL	NIL	NIL	NIL
3	June - 2024	NIL	NIL	NIL	NIL
4	July - 2024	NIL	NIL	NIL	NIL
5	August -2024	NIL	NIL	NIL	NIL
6	September-2024	NIL	NIL	NIL	NIL
7	October -2024	NIL	NIL	NIL	NIL

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Trend of annual disposal of complaints

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021-22	NIL	NIL	NIL	NIL
2	2022-23	NIL	1	1	NIL
3	2023-24	NIL	1	1	NIL
4	2024-25	NIL	1	1	NIL